## Quasi-hereditary quotients of finite Chevalley groups and Frobenius kernels

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#### Abstract

Let  $G$  be a semisimple connected simply connected linear algebraic group over an algebraically closed field k of characteristic  $p > 0$ . Denote by  $G_n$  its *n*-th Frobenius kernel and by  $G(p^n)$  its finite subgroup of  $\mathbf{F}_{p^n}$ -rational points. In this paper we find quotients of the algebra  $\mathcal{U}_n = k[G_n]^*$  and of the group algebra  $kG(p^n)$  whose module category is equivalent to a (highest weight) subcategory of the category of rational G-modules.

Keywords: reductive groups, quasi-hereditary algebras, finite Chevalley groups, Frobenius kernels.

## 1 Introduction and notations

1.1. Let G be a semisimple connected simply connected linear algebraic group over an algebraically closed field k of characteristic  $p > 0$ . Assume G is defined and split over the prime subfield  $\mathbf{F}_{p}$ . For each integer  $n \geq 1$ , denote by  $G_n$  the *n*-th Frobenius kernel of G. The representation theory of  $G_n$  is equivalent to the representation theory of the finite dimensional algebra  $\mathcal{U}_n$  given by the dual of its coordinate algebra. For  $q = p^n$  we also have the finite subgroups  $G(q)$  of G consisting of  $\mathbf{F}_{q}$ -rational points of G. We are interested in relating the representation theory of  $\mathcal{U}_n$  and  $G(q)$  over k with the quasi-hereditary algebras arising from the rational representations of G.

The category of rational G-modules which are bounded in a certain sense is equivalent to the module category of a finite dimensional quasi-hereditary algebra. These algebras are very well understood, see for example Ringel [15] in the general context of finite dimensional algebras and Donkin [9] [8] in this context.

The group algebra  $kG(q)$  and the algebra  $\mathcal{U}_n$  are not quasi-hereditary unless they are semisimple, as they are self-injective algebras. But in this paper we find quotients of  $kG(q)$  and  $\mathcal{U}_n$  which are quasi-hereditary (see section 2 for a precise statement). We give two different proofs of our result.

The first one, given in section 3, only works when the prime  $p$  is large enough. It uses the fact that the indecomposable projective  $\mathcal{U}_n$ -modules and  $kG(q)$ -modules can be obtained by restricting certain tilting modules for the group  $G$  and that these tilting modules are projective in a suitable subcategory of the category of rational G-modules. We construct quotients of the algebras  $\mathcal{U}_n$  and  $kG(q)$  by truncating these tilting modules. This work is part of my DPhil thesis in Oxford and I wish to thank my supervisor Karin Erdmann for her great support.

The second proof, given in section 4, is due to Stephen Donkin. It is a direct proof using coalgebras and it works without any restriction on the prime p. We are extremely grateful to Stephen Donkin for allowing us to include his proof in this paper.

Although the second proof is more general, the first one has the advantage of giving some information about the structure of the indecomposable projective  $\mathcal{U}_n$ -modules (and the indecomposable projective  $kG(q)$ -modules in some cases). In fact, the tilting modules used in our construction remain indecomposable upon restriction to  $\mathcal{U}_n$ . So we get a description, via the representations of G, of the kernel of the projection of  $\mathcal{U}_n$  onto its quasi-hereditary quotients at the level of the projective modules. For the finite group algebra  $kG(q)$ , the situation is more complicated because the restriction of the tilting modules is not indecomposable in general. But this can still be done in some small rank cases, see the example in section 5.

**1.2.** Notation Let  $R = k[G]$  be the coordinate algebra of the group G. It has a structure of Hopf algebra. Consider the following Hopf ideals of R:

$$
I = \{ f \in R \, | \, f(1) = 0 \},
$$
  

$$
I^{[p^n]} = \{ \sum_{f \in I} Rf^n \}.
$$

The coordinate algebra of the *n*-th Frobenius kernel is given by  $k[G_n] :=$  $R/I^{[p^n]}$  and the algebra  $\mathcal{U}_n := k[G_n]^*$ . It is a finite dimensional self-injective algebra (see [11] [1.8.10]. In fact  $G_n$  is the infinitesimal subgroup scheme of G defined as the kernel of the *n*-th power of the Frobenius map  $F^n: G \to G$ (see [11] I.9). For a rational G-module V, we define its n-th Frobenius twist  $V^{F^n}$  by  $V^{F^n} = V$  as a vector space and  $g \in G$  acts on  $V^{F^n}$  by  $g.v := F^n(g)v$ for all  $v \in V$ . Note that  $V^{F^n}$  is trivial as a  $\mathcal{U}_n$ -module.

Fix a maximal split torus T in G and let  $X(T)$  denote its character lattice. Let  $\Phi$  be the root system of G with respect to T. Fix  $\Phi^-$  (resp.  $\Phi^+$ ) the set of positive (resp. negative) roots and denote by Π the set of simple roots. Let B be the Borel subgroup corresponding to the negative roots. This partition of  $\Phi$  defines a partial ordering on  $X(T)$  as follows. For  $\lambda, \mu \in X(T)$ , we say that  $\lambda > \mu$  if  $\lambda - \mu$  can be written as a sum of simple roots with non-negative integer coefficients. Let  $W := N<sub>G</sub>(T)/T$  be the Weyl group. We denote the longest element in W by  $w_0$ . The Weyl group W acts on  $X(T) \otimes_{\mathbf{Z}} \mathbf{R}$ . Fix an inner product  $\langle ., .\rangle$  on  $X(T) \otimes_{\mathbf{Z}} \mathbf{R}$  invariant under the action of W. For each root  $\alpha \in \Phi$ , denote by  $\alpha^v = 2\alpha/\langle \alpha, \alpha \rangle$  the corresponding coroot. Define  $\rho$  to be half the sum of all positive roots in  $\Phi$ . The Coxeter number of  $\Phi$  is given by

$$
h := \max\{\langle \rho, \beta^v \rangle + 1 \, | \beta \in \Phi^+\}.
$$

It is the maximum of the Coxeter numbers of the connected components of Φ. If  $\Phi$  is connected, we denote by  $\alpha_0$  the highest short root of  $\Phi$ . The set of dominant weights is defined by

$$
X^+(T) = \{ \lambda \in X(T) \, | \, \langle \lambda, \alpha^v \rangle \ge 0 \, \forall \alpha \in \Pi \}.
$$

The simple G-modules are indexed by the set of dominant weights  $X^+(T)$ and denoted by  $L(\lambda)$ ,  $\lambda \in X^+(T)$ . They are given by  $L(\lambda) = \text{soc}\nabla(\lambda)$  where  $\nabla(\lambda)$  is the induced module Ind<sup>G</sup><sub>B</sub> $\lambda$ . The Weyl modules  $\Delta(\lambda)$  are defined to be the contravariant duals of the induced modules

$$
\Delta(\lambda) := \nabla(-w_0\lambda)^*.
$$

When  $\lambda = (p^n - 1)\rho$ , we have  $\nabla((p^n - 1)\rho) = \Delta((p^n - 1)\rho) = L((p^n - 1)\rho)$ , this module is called the *n*-th Steinberg module and is denoted by  $St_n$ . We say that a rational G-module M has a  $\nabla$ -filtration if M has a filtration

$$
0 = M_0 \subseteq M_1 \subseteq \dots \subseteq M_k = M
$$

such that each quotient  $M_i/M_{i-1} = \nabla(\mu)$  for some  $\mu \in X^+(T)$ . We define  $\Delta$ -filtration similarly. The rational G-modules having both a  $\nabla$ -filtration and a  $\Delta$ -filtration are called tilting modules. It can be shown that the indecomposable tilting modules are indexed by dominant weights, we denote them by  $T(\lambda)$ ,  $\lambda \in X^+(T)$  (see [15] and [8]).

The set of  $p^n$ -restricted weights is given by

$$
X_n = \{ \lambda \in X^+(T) \, | \, \langle \lambda, \alpha^v \rangle < p^n \, \forall \alpha \in \Pi \}.
$$

A complete set of non-isomorphic simple  $kG(q)$ -modules, resp.  $\mathcal{U}_n$ -modules, is obtained by restricting the set of simple  $G$ -modules corresponding to  $p^n$ restricted weights

$$
\{L(\lambda) \mid \lambda \in X_n\}.
$$

We denote by  $U(\lambda)$ , resp.  $Q(\lambda)$ , the projective cover (injective hull), of  $L(\lambda)$ in the category of  $kG(q)$ -modules, resp.  $\mathcal{U}_n$ -modules.

#### 1.3 Truncation functors and generalized Schur algebras

We start by describing the functors  $O_{\pi}$  and  $O^{\pi}$ . We then introduce the generalized Schur algebras  $S(\pi)$  defined by Donkin (see [9]). Let  $\pi$  be a finite subset of the set of dominant weights  $X^+(T)$ . We say that a rational G-module V belongs to  $\pi$  if all its composition factors  $L(\mu)$  satisfy  $\mu \in \pi$ . For a rational G-module M, we define  $O_{\pi}(M)$  to be the largest submodule of M belonging to  $\pi$ . Similarly, we define  $O^{\pi}(M)$  to be the smallest submodule of M such that the quotient module belongs to  $\pi$ . The coordinate algebra  $k[G]$  has a structure of rational G-module so we can form  $A(\pi) := O_{\pi}(k[G])$ .

This is a subcoalgebra of  $k[G]$ . We define the generalized Schur algebra corresponding to  $\pi$  to be the finite dimensional algebra  $S(\pi) = A(\pi)^*$ . There is an equivalence between the category of left rational G-modules belonging to  $\pi$ , the category of right  $A(\pi)$ -comodules and the category of left  $S(\pi)$ modules. So a complete set of non-isomorphic simple  $S(\pi)$ -modules is given by

$$
\{L(\lambda) \mid \lambda \in \pi\}.
$$

For each  $\lambda \in \pi$ , we denote by  $P_{\pi}(\lambda)$  the projective cover of  $L(\lambda)$  as an  $S(\pi)$ module. Now suppose that we have a subset  $\pi' \subset \pi$  then it is easy to check that for  $\lambda \in \pi'$  the projective cover  $P_{\pi'}(\lambda)$  of  $L(\lambda)$  as an  $S(\pi')$ -module is given by

$$
P_{\pi'}(\lambda) = P_{\pi}(\lambda) / O^{\pi'}(P_{\pi}(\lambda)).
$$
\n(1)

We say that a finite subset  $\pi$  of  $X^+(T)$  is saturated in  $X^+(T)$  if whenever  $\lambda \in \pi$  and  $\mu \in X^+(T)$  with  $\mu \leq \lambda$  we have  $\mu \in \pi$ . In this case, it can be shown (see [9](2.2h)) that  $S(\pi)$  is quasi-hereditary in the sense of Cline Parshall and Scott (see [6]). In particular, for  $\lambda \in \pi$  the standard modules are given by the Weyl modules  $\Delta(\lambda)$ , the costandard modules are the induced modules  $\nabla(\lambda)$  and the tilting modules are given by the  $T(\lambda)$ 's (see [8]).

## 2 Results

**Theorem 2.1** Let  $\pi \subseteq X_n$  then there is an ideal J of the algebra  $\mathcal{U}_n$  such that the quotient  $\mathcal{U}_n/J$  is Morita equivalent to  $S(\pi)$ . In particular, if  $\pi$  is a saturated subset of  $X^+(T)$  then we obtain a quasi-hereditary quotient of  $\mathcal{U}_n$ .

**Theorem 2.2** Let  $\pi \subseteq X_n$  then there is an ideal I of the group algebra  $kG(q)$ such that the quotient  $kG(q)/I$  is Morita equivalent to  $S(\pi)$ . In particular, if  $\pi$  is a saturated subset of  $X^+(T)$  then we obtain a quasi-hereditary quotient of  $kG(q)$ .

We obtain immediately the following corollary.

**Corollary 2.1** There is an ideal J of  $\mathcal{U}_n$  and an ideal I of  $kG(q)$  such that we have the following Morita equivalence

$$
\mathcal{U}_n/J \sim_{\mathcal{M}} kG(q)/I \sim_{\mathcal{M}} S(X_n).
$$

# 3 First proof (when  $p \ge 2h-2$ )

This proof only works for  $p > 2h - 2$ .

We will use the following general fact about Morita equivalence (see for example  $[4](2.2)$ : Let A be a finite dimensional algebra and let  $\{P_1, ..., P_l\}$  be a complete set of indecomposable projective A-modules, then

$$
\operatorname{End}_A\left(\bigoplus_{i=1}^l P_i\right)^{op} \sim_{\mathcal{M}} A.
$$

So in order to prove Theorems 2.1 and 2.2, we shall construct surjective algebra homomorphisms:

$$
\Phi_1 : \operatorname{End}_{\mathcal{U}_n} \left( \bigoplus_{\lambda \in X_n} Q(\lambda) \right) \longrightarrow \operatorname{End}_{S(\pi)} \left( \bigoplus_{\lambda \in \pi} P_{\pi}(\lambda) \right),
$$
  

$$
\Phi_2 : \operatorname{End}_{kG(q)} \left( \bigoplus_{\lambda \in X_n} U(\lambda) \right) \longrightarrow \operatorname{End}_{S(\pi)} \left( \bigoplus_{\lambda \in \pi} P_{\pi}(\lambda) \right).
$$

The next two theorems tell us how to obtain the projective  $\mathcal{U}_n$ -modules and  $kG(q)$ -modules by restricting certain G-modules. For  $\lambda \in X_n$ , denote by  $\bar{\lambda} := 2(p^n - 1)\rho + w_0\lambda.$ 

**Theorem 3.1** (Ballard [3], Jantzen [12]) For  $p \ge 2h - 2$  and  $\lambda \in X_n$  we have

$$
T(\bar{\lambda})|_{\mathcal{U}_n} \cong Q(\lambda).
$$

Donkin conjectured in [8](2.2) that Theorem 3.1 holds without any restriction on the prime p.

**Theorem 3.2** (Jantzen [13], Chastkofsky [5]) For  $\lambda \in X_n$ , the restriction of  $T(\overline{\lambda})$  to kG(q) is projective and  $U(\lambda)$  occurs as a summand with multiplicity one.

It turns out that the tilting modules appearing in Theorems 3.1 and 3.2 are projective and injective in the appropriate subcategory of the category of rational  $G$ -modules, called the category of  $p<sup>n</sup>$ -bounded modules.

**Proposition 3.1** (Jantzen [12](section 4), [11](II.11.11)) Assume  $p > 2h$ 2. For  $\lambda \in X_n$ , the tilting module  $T(\overline{\lambda})$  is the projective cover (and injective hull) of  $L(\lambda)$  in the category of  $p^n$ -bounded G-modules i.e. the category of G-modules belonging to  $\pi_n$  where

$$
\pi_n = \{ \mu \in X^+(T) \mid \langle \mu, \beta^v \rangle < 2p^n(h-1) \text{ for } \beta \in \Phi \cap X^+(T) \}.
$$

This proposition is false when  $p < 2h - 2$  (see [12](4.6))

Remark: It should be noted that all the above results have been proved before the notion of tilting modules was introduced. So these results were given in terms of 'Humphreys-Verma component' of the G-module  $St_n \otimes L((p^n-1)\rho + w_0\lambda)$ , i.e. the indecomposable summand containing the highest weight  $2(p^{n} - 1)\rho + w_0\lambda$ . But a result of Pillen [14] (see also  $[8](2.5)$ ) tells us that this component is exactly the tilting module  $T(\bar{\lambda})$ .

**Lemma 3.1** Let  $\pi \subseteq X^+(T)$ . For  $\lambda \in X_n$  the quotient

 $T(\bar{\lambda})/O^{\pi}(T(\bar{\lambda}))$ 

is zero if  $\lambda \notin \pi$  and for  $\lambda \in \pi$  it is the projective cover  $P_{\pi}(\lambda)$  of  $L(\lambda)$  in the category of  $S(\pi)$ -modules.

Proof:

By Proposition 3.1, we have that  $T(\bar{\lambda}) \cong P_{\pi_n}(\lambda)$ . Now using (1), we see that  $P_{\pi_n}(\lambda)/O^{\pi}(P_{\pi_n}(\lambda))$  is isomorphic to  $P_{\pi}(\lambda)$  when  $\lambda \in \pi$  and is zero otherwise. QED

Let us first prove Theorem 2.1. For  $\lambda \in X_n$ , Lemma 3.1 gives an exact sequence of G-modules

$$
0 \longrightarrow O^{\pi}(T(\bar{\lambda})) \longrightarrow T(\bar{\lambda}) \longrightarrow P_{\pi}(\lambda) \longrightarrow 0.
$$

We use the convention that  $P_{\pi}(\lambda) := 0$  when  $\lambda \notin \pi$ . Restrict this exact sequence to  $\mathcal{U}_n$ . Then using Theorem 3.1, we get

$$
0 \longrightarrow K(\lambda) \longrightarrow Q(\lambda) \longrightarrow P_{\pi}(\lambda) \longrightarrow 0
$$

where  $K(\lambda)$  denotes the restriction of  $O^{\pi}(T(\bar{\lambda}))$  to  $\mathcal{U}_n$ . In order to define the map  $\Phi_1$ , we need the following result.

**Proposition 3.2** Let  $\lambda, \mu \in X_n$ . If  $\phi : Q(\lambda) \longrightarrow Q(\mu)$  is a  $\mathcal{U}_n$ -homomorphism then  $\phi(K(\lambda)) \leq K(\mu)$ .

Proof:

Case 1: Suppose that  $\phi$  is the restriction of a homomorphism of G-modules  $\psi : T(\bar{\lambda}) \longrightarrow T(\bar{\mu})$  then by properties of the functor  $O^{\pi}$  we have that  $\psi(O^{\pi}(T(\bar{\lambda}))) \leq O^{\pi}(T(\bar{\mu}))$  so we are done.

Case 2: Suppose now that  $\phi$  is any  $\mathcal{U}_n$ -homomorphism. Consider the following diagram:

$$
0 \to (Q(\lambda), K(\mu))_{\mathcal{U}_n} \to (Q(\lambda), Q(\mu))_{\mathcal{U}_n} \xrightarrow{\text{proj}} (Q(\lambda), P_{\pi}(\mu))_{\mathcal{U}_n} \to 0
$$
  
\n
$$
\uparrow \text{res} \qquad \qquad \uparrow \text{res} \qquad \qquad \uparrow \text{res}
$$
  
\n
$$
(T(\bar{\lambda}), T(\bar{\mu}))_G \xrightarrow{\text{proj}} (T(\bar{\lambda}), P_{\pi}(\mu))_G \to 0
$$

(where we have omitted the Hom to gain space). Note that

$$
\dim \text{Hom}_{\mathcal{U}_n}(Q(\lambda), P_\pi(\mu)) = [P_\pi(\mu) : L(\lambda)]
$$
  
= 
$$
\dim \text{Hom}_{S(\pi_n)}(P_{\pi_n}(\lambda), P_\pi(\mu))
$$
  
= 
$$
\dim \text{Hom}_G(T(\bar{\lambda}), P_\pi(\mu))
$$

so the restriction map gives an isomorphism

$$
\operatorname{Hom}_G(T(\bar{\lambda}), P_{\pi}(\mu)) \cong \operatorname{Hom}_{\mathcal{U}_n}(Q(\lambda), P_{\pi}(\mu)).
$$

Consider the map proj  $\circ \phi$ , using the above isomorphism we can find a Ghomomorphism  $\psi: T(\lambda) \longrightarrow T(\bar{\mu})$  such that proj  $\circ \psi = \text{proj} \circ \phi$ . This means that  $res(\psi) = \phi$  modulo  $Hom_{\mathcal{U}_n}(Q(\lambda), K(\mu))$ , i.e. there exists a homomorphism  $\eta: Q(\lambda) \longrightarrow K(\mu)$  such that  $\phi = \text{res}(\psi) + \eta$ . In particular, using case 1, we get that  $\phi(K(\lambda)) \leq K(\mu)$ . QED

Now we can define  $\Phi_1$  by sending  $\phi: Q(\lambda) \to Q(\mu)$  to

$$
\bar{\phi}: Q(\lambda)/K(\lambda) = P_{\pi}(\lambda) \longrightarrow Q(\mu)/K(\mu) = P_{\pi}(\mu).
$$

Since every  $S(\pi)$ -homomorphism  $P_{\pi}(\lambda) \to P_{\pi}(\mu)$  can be viewed as a  $\mathcal{U}_n$ homomorphism and the  $Q(\lambda)$ 's are projective  $\mathcal{U}_n$ -modules, we can lift it to a  $\mathcal{U}_n$ -homomorphism  $Q(\lambda) \to Q(\mu)$ . This proves that the algebra map  $\Phi_1$  is surjective and hence ends the proof of Theorem 2.1.

Let us now turn to finite group  $G(q)$ . The structure of the proof of Theorem 2.2 is exactly the same as for Theorem 2.1 but it is slightly more delicate as the restriction of the tilting module to the finite group  $G(q)$  is not indecomposable in general. Note that for each  $\lambda \in \pi$  the module  $P_{\pi}(\lambda)$  is  $p^{n}$ . restricted i.e. all its composition factors  $L(\mu)$  satisfy  $\mu \in X_n$ , so its structure does not change when restricted to  $G(q)$  (see Lemma 4.2 below). As it has simple top isomorphic to  $L(\lambda)$  we have an exact sequence of  $G(q)$ -modules

$$
0 \longrightarrow N(\lambda) \longrightarrow U(\lambda) \longrightarrow P_{\pi}(\lambda) \longrightarrow 0
$$

where  $N(\lambda)$  denotes the kernel of the surjection  $U(\lambda) \to P_{\pi}(\lambda)$ .

**Proposition 3.3** Let  $\lambda, \mu \in X_n$ . If  $\phi : U(\lambda) \longrightarrow U(\mu)$  is a  $G(q)$ -homomorphism then  $\phi(N(\lambda)) \leq N(\mu)$ .

#### Proof:

As  $T(\lambda)|_{G(q)}$  and  $U(\lambda)$  are both projective, we have the following commutative diagrams.

$$
T(\bar{\lambda})|_{G(q)} \begin{array}{ccccccc}\n\exists j & U(\lambda) & & \exists p & T(\bar{\mu})|_{G(q)} \\
\swarrow & \downarrow & & \swarrow & \downarrow \\
P_{\pi}(\lambda) & \longrightarrow 0 & U(\mu) & \longrightarrow & P_{\pi}(\mu) & \longrightarrow 0 \\
& & & \downarrow & & \downarrow \\
& & & 0 & & 0\n\end{array}
$$

If  $\phi = p \circ \text{res}(f) \circ j$  for some  $f \in \text{Hom}_G(T(\bar{\lambda}), T(\bar{\mu}))$  then using the above diagram and properties of the functor  $O^{\pi}$  we see that  $\phi(N(\lambda)) \leq N(\mu)$ . Now if  $\phi$  is arbitrary, consider the following diagram

$$
0 \to (U(\lambda), N(\mu))_{G(q)} \to (U(\lambda), U(\mu))_{G(q)} \to (U(\lambda), P_{\pi}(\mu))_{G(q)} \to 0
$$
  

$$
\eta \uparrow \qquad \qquad \epsilon \uparrow
$$
  

$$
(T(\bar{\lambda}), T(\bar{\mu}))_G \to (T(\bar{\lambda}), P_{\pi}(\mu))_G \to 0
$$

where  $\eta : f \mapsto p \circ \text{res}(f) \circ j$  and  $\epsilon : f \mapsto \text{res}(f) \circ j$ . Note that  $\text{Hom}_G(T(\bar{\lambda}), P_{\pi}(\mu)) = \text{Hom}_{S(\pi_n)}(\check{T}(\bar{\lambda}), P_{\pi}(\mu))$  so we have

dim  $\text{Hom}_G(T(\bar{\lambda}), P_{\pi}(\mu)) = [P_{\pi}(\mu): L(\lambda)] = \dim \text{Hom}_{G(q)}(U(\lambda), P_{\pi}(\mu)).$ 

We want to show that the map  $\epsilon$  is one-to-one so that, by dimensions, it is an isomorphism. We need to prove that if  $f \in \text{Hom}_G(T(\bar{\lambda}), P_{\pi}(\mu))$  is non-zero then res(f)  $\circ$  j is non-zero. Consider the commutative diagram

$$
U(\lambda) \rightarrow T(\bar{\lambda}) \rightarrow P_{\pi}(\mu)
$$
  

$$
\searrow \downarrow
$$
  

$$
P_{\pi}(\lambda)
$$

As  $P_{\pi}(\mu)$  belongs to  $\pi$ , the quotient  $T(\bar{\lambda})/Ker f$  belongs to  $\pi$  as well and so Ker  $f \supseteq O^{\pi}(T(\overline{\lambda}))$ . Thus we can define a map

$$
\bar{f}:T(\bar{\lambda})/O^{\pi}(T(\bar{\lambda}))=P_{\pi}(\lambda)\longrightarrow P_{\pi}(\mu).
$$

If f is non-zero then so is  $\bar{f}$ . Complete the above diagram to get the following commutative diagram

$$
U(\lambda) \rightarrow T(\bar{\lambda}) \rightarrow P_{\pi}(\mu)
$$
  

$$
\searrow \downarrow \nearrow
$$
  

$$
P_{\pi}(\lambda) \bar{f}
$$

If  $res(f) \circ j$  is zero then  $\overline{f}$  must be zero but this is a contradiction. Now using the same argument as in the proof of Proposition 3.2 we see that  $\phi(N(\lambda)) \leq N(\mu).$  QED.

This proposition allows us to define the map  $\Phi_2$  in the same way as we have defined  $\Phi_1$  and we see, using the fact that the  $kG(q)$ -modules  $U(\lambda)$  are projective, that  $\Phi_2$  is a surjective algebra homomorphism. This ends the proof of Theorem 2.2.

## 4 Second proof

We now present a second proof of Theorems 2.1 and 2.2 due to Stephen Donkin which works without restriction on the prime  $p$ . We want to find surjective algebra homomorphisms

$$
\mathcal{U}_n \longrightarrow S(\pi), \nkG(q) \longrightarrow S(\pi).
$$

But the algebra  $S(\pi)$  was defined as the dual of the subcoalgebra  $A(\pi)$  of the coordinate algebra  $k[G]$  of the group G. So it is equivalent to find injective coalgebra homomorphisms

$$
k[G_n] \longrightarrow A(\pi),
$$
  

$$
k[G(q)] \longrightarrow A(\pi).
$$

There are natural candidates for these maps. The coalgebra  $A(\pi)$  embeds in the coordinate algebra  $k[G]$ . In the first case we can compose this embedding with the projection  $k[G] \to k[G_n] = k[G]/I^{[p^n]}$ . In the second case, we can compose it with the map  $k[G] \to k[G(q)]$  given by restriction of functions from G to  $G(q)$ . In the rest of this section we will show that the composition maps

$$
\Psi_1: A(\pi) \hookrightarrow k[G] \longrightarrow k[G]/I^{[p^n]} = k[G_n],
$$
  

$$
\Psi_2: A(\pi) \hookrightarrow k[G] \longrightarrow k[G(q)]
$$

are injective. The injection  $A(\pi) \hookrightarrow k[G]$  is a homomorphism of right  $k[G]$ comodules. So in particular, it is a homomorphism of right  $k[G_n]$ -comodules and  $k[G(q)]$ -comodules. Now clearly, the projection  $k[G] \to k[G_n]$  is a homomorphism of right  $k[G_n]$ -comodules and the restriction map  $k[G] \to k[G(q)]$ is a homomorphism of right  $k[G(q)]$ -comodules. Thus the composition  $\Psi_1$  is a homomorphism of right  $k[G_n]$ -comodules and hence of left  $\mathcal{U}_n$ -modules, so in order to show that it is injective, it is enough to show that it is injective on the  $\mathcal{U}_n$ -socle of  $A(\pi)$ . Similarly, the composition  $\Psi_2$  is a homomorphism of  $kG(q)$ -modules, so it is enough to show that it is injective on the  $G(q)$ -socle of  $A(\pi)$ .

The next two lemmas are well known (see [11]II.9.21 and [7]), but we include elementary proofs for completeness.

**Lemma 4.1** Let  $M$  be a  $p^n$ -restricted G-module then

$$
\operatorname{soc}_G(M) = \operatorname{soc}_{\mathcal{U}_n}(M).
$$

Proof:

If  $L(\mu) \in \text{soc}_{\mathcal{U}_n}(M)$  then there exists a G-module U such that  $L(\mu) \otimes U^{F^n}$ is a G-submodule of M. But M is restricted, so U must be trivial and  $L(\mu)$ is a G-submodule of M. This proves that  $\operatorname{soc}_{\mathcal{U}_n}(M) \subseteq \operatorname{soc}_G(M)$ . The other inclusion is obvious. QED **Lemma 4.2** Let  $M$  be a  $p^n$ -restricted G-module then

$$
\operatorname{soc}_G(M) = \operatorname{soc}_{G(q)}(M).
$$

Proof:

Suppose first that  $M$  has composition length 2. Without loss of generality, we can assume that the root system of  $G$  is connected. The following argument is modeled on an argument of Andersen  $(1|2.7)$ . Assume, for a contradiction, that M has simple G-socle and is semisimple as a  $G(q)$ -module. Replacing M by  $M^*$  if necessary, we can assume that  $\hat{L} \cong L(\lambda)$ ,  $\hat{M}/L \cong L(\mu)$  with  $\lambda > \mu$ . Thus M embeds in  $\nabla(\lambda)$ , but  $\nabla(\lambda)$  embeds in  $St_n \otimes L((q-1)\rho + w_0(\lambda))$ , so we have an embedding  $M \hookrightarrow St_n \otimes L((q-1)\rho + w_0(\lambda))$ . By assumption we have

$$
\begin{aligned} \text{Hom}_{G(q)}(L(\mu), St_n \otimes L((q-1)\rho + w_0(\lambda))) \\ &= \text{Hom}_{G(q)}(L(\mu) \otimes L((q-1)\rho - \lambda), St_n) \\ &\neq 0. \end{aligned}
$$

Hence there exists a G-composition factor  $L(\tau)$  of  $L(\mu) \otimes L((q-1)\rho - \lambda)$  such that  $St_n$  is a  $G(q)$ -composition factor of  $L(\tau)$ . So we have  $\tau \leq \mu + (q-1)\rho - \lambda$ , and as  $\lambda > \mu$  we have  $\langle \tau, \alpha_0^v \rangle < (q-1) \langle \rho, \alpha_0^v \rangle$ . But  $St_n$  must be a  $G(q)$ composition factor of  $L(\tau)$  and  $L(\tau) = L(\nu_1) \otimes L(\nu_2)$  as a  $G(q)$ -modules. So we must have

$$
(q-1)\langle \rho, \alpha_0^v \rangle \le \langle \nu_1 + \nu_2, \alpha_0^v \rangle \le \langle \tau, \alpha_0^v \rangle.
$$

But this is a contradiction.

Now consider the general case and suppose that  $M$  is a counterexample of minimal length. Note that we can assume that M has simple G-socle. In fact if  $L$  and  $L'$  are two different simple G-submodules of  $M$  then we have an injection

$$
\phi: M \hookrightarrow M/L \oplus M/L'.
$$

Now by minimality,

$$
soc_G(M/L) = soc_{G(q)}(M/L)
$$
  
and 
$$
soc_G(M/L') = soc_{G(q)}(M/L').
$$

Identifying M with  $\phi(M)$  we see that

$$
\operatorname{soc}_G(M) = M \cap \operatorname{soc}_G(M/L \oplus M/L')
$$
  
and 
$$
\operatorname{soc}_{G(q)}(M) = M \cap \operatorname{soc}_{G(q)}(M/L \oplus M/L').
$$

But the right hand sides coincide.

Assume  $\operatorname{soc}_G(M) = L$ . Suppose, for a contradiction, that  $L \neq \operatorname{soc}_{G(g)}(M)$ . Then M has a  $G(q)$ -submodule  $Z = K \oplus L$  where  $K \cong L(\mu)$  is simple. Take a  $G(q)$ -homomorphism  $\eta: L(\mu) \to K$  giving rise to a homomorphism  $\bar{\eta}: L(\mu) \to M/L$ . Now, by minimality of M we have

$$
\operatorname{Hom}_{G(q)}(L(\mu), M/L) = \operatorname{Hom}_G(L(\mu), M/L).
$$

So the image of  $\bar{\eta}$  is a G-submodule  $Z/L$  of  $M/L$ . Hence Z is a G-submodule of M, but it has length 2, so  $\operatorname{soc}_G(Z) = \operatorname{soc}_{G(g)}(Z) = L \oplus K$ . This contradicts the fact that  $M$  has simple socle.  $QED$ 

As  $A(\pi)$  is a p<sup>n</sup>-restricted G-module, we have

$$
soc_{\mathcal{U}_n}(A(\pi)) = soc_{G(q)}(A(\pi)) = soc_G(A(\pi)).
$$

Now  $A(\pi)$  is a left G-module, so it can be viewed as a right k[G]-comodule. But it belongs to  $\pi$ , so it is a right  $A(\pi)$ -comodule.

Let us recall some standard facts about coalgebras and comodules (see [10]). Let C be a coalgebra and assume that  $\text{End}_C(L) = k$  for all simple C-comodules L. Let V be a right C-comodule with structure map  $\tau : V \to$  $V \otimes C$ . The coefficient space of V, denoted by  $cf_C(V)$  is defined as follows. Let  $\{v_i \mid i \in I\}$  be a basis for V then we have

$$
\tau(v_i) = \sum_j v_j \otimes c_{ji}.
$$

The coefficient space of V is the span of all the  $c_{ji}$  for  $i, j \in I$ . It does not depend on the choice of basis for  $V$ . The coalgebra  $C$  itself is a  $C$ -comodule with socle given by

$$
\operatorname{soc}_C C = \bigoplus_{\lambda \in \Lambda} \operatorname{cf}_C(L(\lambda)) \cong \bigoplus_{\lambda \in \Lambda} L(\lambda)^{\dim L(\lambda)}.
$$

where  ${L(\lambda) | \lambda \in \Lambda}$  is a complete set of non-isomorphic simple C-comodules (see [10](1.3)). If  $\phi: C \to C'$  is a homomorphism of coalgebras, then we can turn V into a right C'-comodule via the structure map  $\tau' = (1 \otimes \phi)\tau : V \to$  $V \otimes C'$ . Then by definition, we have that the coefficient space of V as a  $C'$ -module is given by

$$
\mathrm{cf}_{C'}(V) = \phi(\mathrm{cf}_C(V)).
$$

Applying the above remarks to our case we first see that

$$
\mathrm{soc}_G(A(\pi)) = \mathrm{soc}_{A(\pi)}(A(\pi)) = \bigoplus_{\lambda \in \pi} \mathrm{cf}_{A(\pi)}(L(\lambda)).
$$

Under the coalgebra homomorphism  $\Psi_1$  we get

$$
\Psi_1(\operatorname{soc}_{A(\pi)}(A(\pi))) = \bigoplus_{\lambda \in \pi} \Psi_1(\operatorname{cf}_{A(\pi)}(L(\lambda)))
$$

$$
= \bigoplus_{\lambda \in \pi} \operatorname{cf}_{k[G_n]}(L(\lambda)).
$$

Similarly, we have that

$$
\Psi_2(\operatorname{soc}_{A(\pi)}(A(\pi))) = \bigoplus_{\lambda \in \pi} \operatorname{cf}_{k[G(q)]}(L(\lambda)).
$$

A dimension count now shows that  $\Psi_1$ , resp.  $\Psi_2$ , are injective on the  $\mathcal{U}_n$ -socle, resp.  $kG(q)$ -socle, of  $A(\pi)$ .

## 5 Remarks

When  $G = SL_2(k)$  the set of p<sup>n</sup>-restricted weights  $X_n$  is saturated in  $X^+(T)$ and it is easy to see that the quasi-hereditary algebra  $S(X_n)$  is isomorphic to the direct sum of Schur algebras  $S_K(2, p^n - 1) \oplus S_K(2, p^n - 2)$ . It can be shown that, for  $0 \le a \le p^n - 1$ ,  $T(2p^n - 2 - a)|_{SL_2(p^n)}$  is equal to  $U(a)$ if  $a \neq 0$  and  $U(0) \oplus St_n$  if  $a = 0$ . Andersen, Jorgensen and Landrock gave a description of the radical series of the projective indecomposable modules  $U(a)$  for  $SL_2(p^n)$ , see [2]. Using their result, we give some illustrations of our construction in the case  $n = 2$ . Each simple module is represented by the p-adic expansion of its highest weight  $a \in \mathbb{N}$ , i.e. for  $a = \sum_{i\geq 0} a_i p^i$ we write  $(a_0, a_1, a_2, \ldots)$ . The following pictures give the radical layers of the  $T(2p^2-2-a)$ 's and  $U(a)$ 's. When we write, for instance,  $(a_0 \pm 1, a_1 \pm 1)$ it means that all four combinations occur. All  $(a_0, a_1, ...)$  for which  $a_i = -1$ for some i should be ignored. The top part in bold characters corresponds to the indecomposable projective  $S(X_2)$ -modules. It is the largest quotient of the tilting module belonging to  $X_2$ .

T (2p <sup>2</sup> − 2 − a) U(a) a0 = p − 1 (p − 1, a1) (p − 1, a1) 0 ≤ a1 < p − 1 (p − 1, p − a1 − 2, 1) (p − 2, p − a1 − 2) (p − 1, a1) (0, p − a1 − 2 ± 1) (p − 2, p − a1 − 2) (p − 1, a1) 0 ≤ a0 < p − 1 (a0, p − 1) (a0, p − 1) a1 = p − 1 (p − a0 − 2, p − 2) (p − a0 − 2, p − 2) (p − a0 − 2, 0, 1) (p − a0 − 2 ± 1, 0) (p − a0 − 2, p − 2) (p − a0 − 2, p − 2) (a0, p − 1) (a0, p − 1) 0 ≤ a0 < p − 1 (a0, a1) (a0, a1) 0 ≤ a1 < p − 1 (p − a0 − 2, a1 ± 1)(a0, p − a1 − 2, 1) (p − a0 − 2, a1 ± 1)(a0 ± 1, p − a1 − 2) not both zero (a0, a1)(a0, a1)(p − a0 − 2, p − a1 − 2 ± 1, 1) (a0, a1)(a0, a1)(p − a0 − 2 ± 1, p − a1 − 2 ± 1) (p − a0 − 2, a1 ± 1)(a0, p − a1 − 2, 1) (p − a0 − 2, a1 ± 1)(a0 ± 1, p − a1 − 2) (a0, a1) (a0, a1) a0 = 0 (0) (0) a1 = 0 (p − 2, 1)(0, p − 2, 1) (p − 2, 1)(1, p − 2) (0)(0)(p − 2, p − 1, 1)(p − 2, p − 3, 1) (0)(0)(p − 3, p − 1)(p − 3, p − 3)(p − 1, p − 3) (p − 2, 1)(0, p − 2, 1) (p − 2, 1)(1, p − 2) (0) (0)

In general, the set of  $p<sup>n</sup>$ -restricted weights is not saturated. It is easy to see that the set  $C_n$  given by

$$
C_n = \{ \lambda \in X^+(T) \mid \langle \lambda, \beta^v \rangle < p^n \, \forall \beta \in \Phi \cap X^+(T) \}
$$

is saturated in  $X^+(T)$ .

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